



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/955,923	09/19/2001	Christian Huitema	212643

23460
LEYDIG VOIT & MAYER, LTD
TWO PRUDENTIAL PLAZA, SUITE 4900
180 NORTH STETSON AVENUE
CHICAGO, IL 60601-6780



CONFIRMATION NO. 9393

FORMALITIES LETTER



OC000000006931540

COPY OF PAPERS
ORIGINALLY FILED

Date Mailed: 10/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/23/2002 HNDOR1 00000108 09955923

FILED UNDER 37 CFR 1.53(b)

01 FC:101 740.00 OP
02 FC:102 420.00 OP
03 FC:103 594.00 OP
04 FC:104 130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$994.
 - \$594 for 33 total claims over 20.
 - \$400 for 5 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1834.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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COPY OF PAPERS
ORIGINALLY FILED

MP 8 ✓
PATENT
Attorney Docket No. 212643

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

HUITEMA et al.

Art Unit:

Application No. 09/955,923

Examiner:

Filed: September 19, 2001

For: PEER-TO-PEER GROUP
MANAGEMENT AND METHOD FOR
MAINTAINING PEER-TO-PEER
GRAPHS

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

Dear Sir

Applicants hereby respond to the Notice to File Missing Parts of Application dated October 19, 2001.

The items checked below are appropriate:

1. Status of Applicant

This application is on behalf of ☒ other than a small entity or ☐ a small entity.

2. Documents Submitted Herewith

- a. ☒ Executed Declaration of inventor(s)
b. ☐ Nucleotide and/or Amino Acid Sequence Submission:
i. ☐ Computer Readable Form (CRF)
ii. Specification Sequence Listing on:
(1) ☐ CD-ROM or CD-R (2 copies); or
(2) ☐ Paper Copy
iii. ☐ Statement verifying identity of above copies
c. ☐ Verified English translation of application
d. ☒ Copy of the Notice to File Missing Parts of Application
e. ☒ Substitute Drawings
f. ☐ Other:

05/23/2002 HN00R1 00000108 09955923

05 FC:128

1960.00 0P

CERTIFICATE OF MAILING

I hereby certify that this document (along with any documents referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date: May 9, 2002

May 9, 2002

3. Fees

Application Filing Fee

APPLICATION FEES				
BASIC FEE				\$ 740.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	53 - 20 =	33	x \$18.00	\$ 594.00
Independent Claims	8 - 3 =	5	x \$84.00	\$ 420.00
<input type="checkbox"/> Multiple Dependent Claims(s) if applicable			+\$280.00	\$ 0.00
Total of above calculations =				\$ 1,754.00
Reduction by 50% for filing by small entity =				\$(0.00)
Fee Due =				\$1,754.00

4. Late Filing of Oath or Declaration

Pursuant to 37 CFR 1.16(e), the surcharge for filing this Response is for ☒ other than a small entity or ☐ a small entity.

Fee Due \$130.00

5. Submitting a Translation of the Specification

Pursuant to 37 CFR 1.17(i), the surcharge for submitting an English translation of the non-English specification is included.

Fee Due \$ 0.00

6. Extension of Time

☒ Applicants petition for a five-month extension of time under 37 CFR 1.136, the fee for which is \$1,960.00.

☐ Applicants believe that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicants have inadvertently overlooked the need for a petition and fee for extension of time.

Fee Due \$1,960.00

7. Total Fee Due

The total fee due is:

Application Filing Fee	\$1,754.00
Late Filing of Declaration Surcharge	\$130.00
Non-English Specification Surcharge	\$ 0.00
Extension of Time Fee	\$1,960.00

Total Fee Due: \$3,844.00

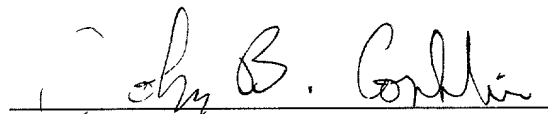
8. Fee Payment

☒ Attached is a check in the sum of \$3,844.00.
☐ Charge Account No. 12-1216 the sum of \$. A duplicate of this transmittal is attached.

In re Appln. of HUITEMA et al.
Application No. 09/955,923

9. **Fee Deficiency**

- ☒ If any additional fee is required in connection with this communication, charge Account No. 12-1216. A duplicate of this transmittal is attached.



John B. Conklin, Reg. No. 30,369
One of the Attorneys for Applicant(s)
LEYDIG, VOIT & MAYER, LTD.
Two Prudential Plaza, Suite 4900
180 North Stetson
Chicago, Illinois 60601-6780
(312) 616-5600 (telephone)
(312) 616-5700 (facsimile)

Date: May 9, 2002

Missing Parts Response (Revised 2/7/02)